

Complinet Global Screening Workstation

Our Approach

In order to comply with a range of legislation involving Know Your Customer (KYC) and Customer Due Diligence (CDD) obligations, the client screening process must be an ongoing one. This means checks are not only required at the account opening stage, but client lists also need to be screened retrospectively and ongoing due diligence checks are required to identify changes in status. In order to comply with regulation, and avoid the risk of hefty penalties and reputational damage, the entire screening process also needs to be fully auditable.

Our Solutions

Complinet Global Screening™ Workstation is a flexible, scalable and secure solution that enables you to screen your clients against updated Complinet sanctions, watch lists and PEP data.

With the ability to perform single name checks through to batch checks involving many millions of names, without compromising the security of your customer lists, Workstation is ideal for account opening, ongoing retrospective checking and case investigation.

Client definable data fields enable you to add additional fields relevant to your unique data sets (e.g. branch codes) to improve the workflow specific to your organization, and sophisticated fuzzy matching technology improves the relevance of your results set.

Workstation, brought to you by Complinet – leaders in compliance information and solutions – allows you to find the ideal balance between reducing the burden of false positive matches whilst satisfying your regulatory and business risk requirements.

A Connected approach to screening

Complinet's Global Screening™ portfolio provides a total end-to-end anti-money laundering solution that connects all your due diligence, AML and anti-fraud activities in a unified risk-based approach, ensuring users receive the best information, training and operational services available.

Key Benefits

Highly Automated

- Automates the process for ad hoc and batch screening against an extensive data range, including PEPs
- Conducts both retrospective checks and ongoing monitoring of a client base; automatically highlighting any changes in status against the international watch lists and PEP data

Fully Audited Process

- Fully retrievable audit trail and screening log reveals a client's current status and a log of prior checks against that client, including the results of those checks
- Generate electronic reports from these logs with a click of a button

Risk-based Scoring of Results

- Configurable scoring component allows work to be prioritized based on a score generated in the matching process
- Simplifies the workflow process with 'traffic-lighting' of all results for immediate visual reference
- Sophisticated fuzzy search technology is client configurable for optimal balance between reducing the burden of false positive matches and satisfying your regulatory and business risk requirements.

Packaged Application

- Off-the-shelf for rapid deployment and minimal IT intervention
- Installed within the client organization, providing an additional level of security and the ability to integrate with internal legacy systems

Multi User

- Ability for multiple people to work on a centralized register of clients

Case Notes

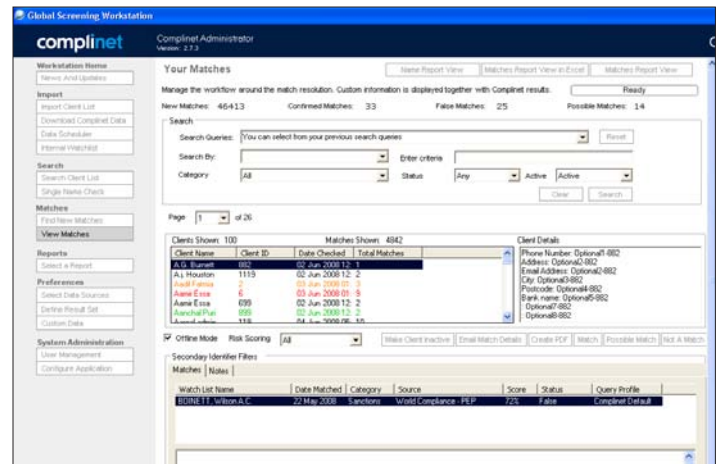
- User-specific case notes can be appended and saved in relation to a specific client.

Complinet Global Screening Workstation

Easy-to-use interface

Highly automated and streamlined workflow, with traffic-lighting for instant visual reference

The table below provides an overview of key features that Workstation delivers, and the benefits these are delivering to our clients across the globe.



Automated client data input	<ul style="list-style-type: none"> ✓ Perform single name checks (SNCs) for individuals and organizations ✓ Upload batch data for multiple name checks ✓ Automate batch data upload 	<ul style="list-style-type: none"> • Offers a simple check ideal for account opening or for ad hoc investigation • Accommodates extremely high data volumes (many millions) offering a quick, secure and effective solution for retrospective screening requirements • Automates batch data entry for improved workflow and reduced processing time
Automated workflow	<ul style="list-style-type: none"> ✓ Follow-on check automatically checks against updated watch list names ✓ Set schedule for client data to be automatically uploaded and screened against Complinet database ✓ Automated update of Complinet database ✓ Customer name changes automatically screened 	<ul style="list-style-type: none"> • Automates your ongoing screening requirements • Improved regulatory compliance and due diligence process is integrated into your organisation's workflow • Maximizes efficiency and significantly reduces processing time • Enhances your audit and compliance conformity
Client configurable data fields	<ul style="list-style-type: none"> ✓ Define additional fields relevant to specific client data (e.g. unique branch codes) 	<ul style="list-style-type: none"> • Improved workflow, specific to your organisation
Results	<ul style="list-style-type: none"> ✓ Client configurable scoring component allows work to be prioritised based on a score generated in the matching process ✓ Color stamped workflow "Match"; "Not a Match"; "Possible Match" ✓ Sophisticated fuzzy search technology ✓ Export and e-mail results 	<ul style="list-style-type: none"> • Provides the optimal balance between reducing the effect of false positive matches and satisfying your regulatory and business risk requirements • Optimizes the efficiency of your workflow with immediate visual reference of matches • Reduces workload associated with unwanted false positives • Offers comprehensive documentation of results and full audit compliance
Audit Compliance	<ul style="list-style-type: none"> ✓ Fully retrievable audit trail and screening log reveals a client's current status, a log of checks conducted against that client, including the results of those checks ✓ User specific case notes can be appended and saved in relation to a specific client 	<ul style="list-style-type: none"> • Comprehensive audit coverage • No lost results