

Company Overview

Founded in 1997, Complinet is a leading provider of connected risk and compliance solutions and a Thomson Reuters business. Every day, industry professionals in more than 1,900 firms across 81 countries rely on Complinet as their partner for ongoing compliance analysis and solutions that help them keep pace with changing regulations.

With tighter budget controls and increased pressure to show value, it's harder to keep ahead of regulatory change and devise a practical approach that satisfies regulators, senior management and the business lines in equal measure. At Complinet we understand these challenges, helping firms to deliver proactive compliance while saving money and maximizing efficiency.

Our range of products and services help firms to:

- ▶ manage policies more efficiently by connecting them to live regulations to ensure automatic notification;
- ▶ track regulatory developments comprehensively to avoid resource-draining spreadsheets that are not fit for purpose;
- ▶ keep up-to-date with the regulatory buzz;
- ▶ receive practice and rule interpretation without scouring the web and using many costly providers;
- ▶ screen clients to manage firms AML and KYC obligations; or
- ▶ create the right compliance culture with the most relevant e-learning courses

Complinet is one of the most trusted, quality names in compliance and offers tailored packages to suit firms, large and small, across the financial services industry.

Our Regulatory Compliance Portfolio

Complinet Complete

Complinet Complete delivers a connected view of our client's regulatory environment, including exclusive news and analysis, rulebooks and related reference material, the ability to easily track upcoming regulatory developments and informative practical guidance notes – all in one fully tailored package. Regional content is available for North America, UK and Europe, Asia, Australasia and the Middle East.

Global Screening

Complinet's approach to screening is unique and trusted across the industry. It provides a total end-to-end AML solution that connects a clients KYC, anti-fraud and due diligence activities. Available online or as a behind-the-firewall application, it automates the time-consuming task of ongoing and retrospective screening. In addition, there is a comprehensive choice of watch, sanction and adverse media lists to suit a clients specific requirements.

Regulatory Training

Developed by Complinet's in-house compliance and training experts, our e-learning and classroom courses fulfil our client's regulatory training requirements, providing interactive and engaging courses that drive active learning and understanding. Each course includes real-life examples that staff can relate to and can be further adapted to include a firm's internal policies and procedures. Complinet e-learning courses can also be delivered on a record keeping platform that allows administrators to create management reports on examination performance and course attendance.

Policy Manager

Complinet Policy Manager is an enterprise-wide content management and governance system built specifically for the compliance profession. Only Policy Manager directly connects internal polices and procedures with relevant external governing regulations and rules. This approach naturally reduces exposure to regulatory risk by enabling the most rapid and appropriate response to evolving regulatory developments.

Company Milestones

2010

June 2010 - Thomson Reuters acquires Complinet to provide end-to-end financial service compliance solutions.

April 2010 - Complinet TV - the first edition of Complinet Global Newscasts is broadcast.

2009

December 2009 - Complinet launches data integration services to provide firms with a live data connection between global regulatory rule developments and a firms risk or content management system.

November 2009 - Complinet hosts the 5th Annual Compliance Awards.

February 2009 - Complinet launches Complinet Complete – a new industry standard for compliance.

2008

November 2008 - Complinet launches Global recruitment site.

April 2008 - Complinet opens Sydney office to manage APAC operations.

March 2008 - Complinet closes \$37m investment from Fidelity Equity Partners to expand into APAC and enhance product offerings.

2007

August 2007 - Complinet and TSX Group launch online Toronto Stock Exchange and TSX Venture Exchange rules.

June 2007 - Financial News names Complinet as one of 21 companies with the potential to shape the financial services landscape in the 21st century.

February 2007 - Complinet hosts inaugural GCC Regulators' Summit in Doha.

2006

November 2006 - Complinet awarded Sunday Times Tech Track listing for the fourth year running. It is one of only three companies that have stayed in the rankings for four consecutive years.

July 2006 - Complinet introduces new Politically Exposed Persons database and Adverse Media Search to extend its Global Screening services.

2005

October 2005 - Complinet opens Middle East Sales and Operations office in Dubai.

September 2005 - Complinet ranks in the Sunday Times Tech Track 100 of fastest growing companies for the third year running.

June 2005 - 50,000 compliance professionals across the globe now depend on Complinet for their services and tools.

January 2005 - Complinet hosts its inaugural compliance annual awards ceremony, which recognizes the achievements of compliance professionals in the UK financial services industry.

2004

November 2004 - Complinet renamed in the Sunday Times Tech Track league tables, for the second year running. Complinet is in 36th position with 129 percent growth.

September 2004 - Complinet launches US division of its AML news service.

2002

February 2002 - Complinet launches Global Screening to help the community meet its increased know your customer and AML requirements.

2001

October 2001 - Complinet launches Policy Manager and Global AML news service.

April 2001 - Complinet opens New York office.

1997

September 1997 - Complinet launches its Securities and Banking news service, establishing itself as the UK's pioneer in web-based delivery of financial services compliance intelligence.

July 1997 - Chris Pilling, Nick Roberts and Alex Viall establish Complinet and open its first London office.